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THE REARRANGEMENT OF KETOXIME O-SULFONATES TO AMINO KETONES

(The Neber Rearrangement)

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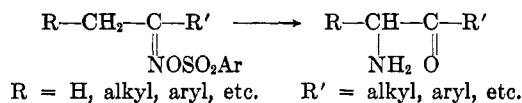
I. INTRODUCTION

Though the Neber rearrangement was discovered almost 40 years ago, this unique reaction had received but scant application up to the last decade or so, when, after the failure of more conventional methods, its applicability to the synthesis of α -amino ketones began to be more widely recognized. Recently, it has been applied to the stereospecific synthesis of cyclic α -amino ketones, and some work has also been done in elucidating the mechanism of the reaction.

This review is intended to present a survey of all the information available on the subject up to September, 1963.

A. DEFINITION

In the Neber rearrangement an oxime arylsulfonate of the following general type, when treated with base followed by acid hydrolysis, rearranges to form an



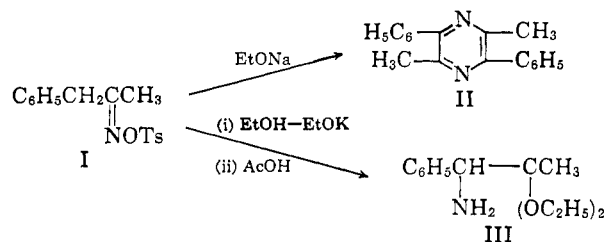
α -amino ketone. The oxime sulfonate may also be derived from an equivalent cyclic ketone.

B. DISCOVERY

In 1926, Neber and Friedolsheim (23), while working on the Beckmann rearrangement, noted the unusual

behavior of aromatic sulfonate esters of some benzyl methyl ketoximes.

Saponification of 1-phenyl-2-propanone oxime tosylate (I) with sodium ethoxide gave 2,5-dimethyl-3,6-diphenylpyrazine (II), while successive treatment with ethanolic potassium ethoxide and acetic acid gave the acetate of 1-amino-2-diethoxy-1-phenylpropane (III).



Again, 1-(2-nitrophenyl)-2-propanone oxime benzene-sulfonate (IV) gave with ethanolic ammonia the dihydropyrazine (V), while successive treatment with potassium ethoxide, acetic acid, and hydrochloric acid

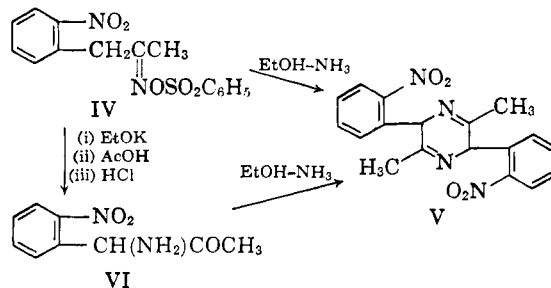

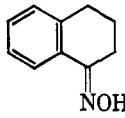
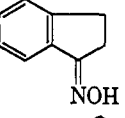
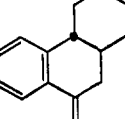
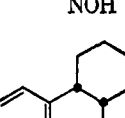
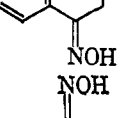
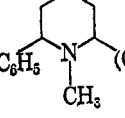
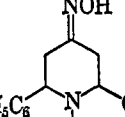
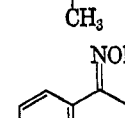
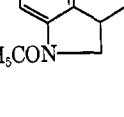
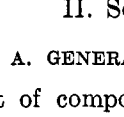
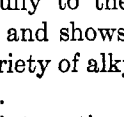
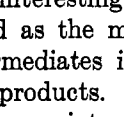


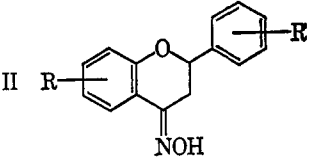
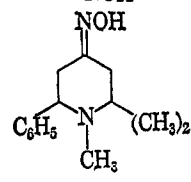
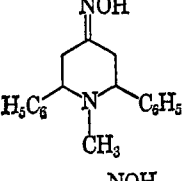
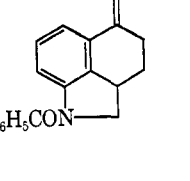
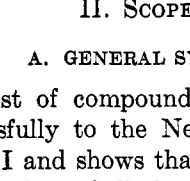
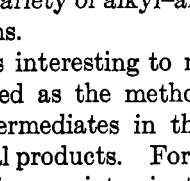
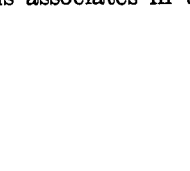


TABLE I
 NEBER REARRANGEMENT OF OXIME TOSYLATES

| Parent oxime I $RCH_2C(:NOH)R'$ Miscellaneous acyclic ketoximes | | References | Parent oxime III Other cyclic ketoximes | | References |
|--|---|------------|--|--|------------|
| R | R' | | | | |
| H | CH ₃ | 24 |  | | 33 |
| H | C ₆ H ₅ | 24, 38 |  | | 22, 26 |
| H | <i>p</i> -O ₂ NC ₆ H ₄ | 38, 39 |  | | 22, 26 |
| H | 3,4-(CH ₂ O ₂)C ₆ H ₃ | 22 |  | | 11 |
| H | C ₆ H ₅ CH ₂ | 23 |  | | 11 |
| H | C ₆ H ₅ CH ₂ CH ₂ | 23 |  | | 22, 26 |
| H | <i>o</i> -O ₂ NC ₆ H ₄ CH ₂ | 23, 24 |  | | 22, 26 |
| H | 2,4-(O ₂ N) ₂ C ₆ H ₃ CH ₂ | 9, 21 |  | | 11 |
| H | C ₆ H ₅ NHCOCH ₂ | 22 |  | | 11 |
| H | C ₆ H ₅ CONHCH ₂ | 22 |  | | 11 |
| H | 2-Pyridyl | 8 |  | | 11 |
| H | 3-Pyridyl | 8 |  | | 11 |
| CH ₃ | C ₆ H ₅ | 24 |  | | 11 |
| C ₆ H ₅ | C ₆ H ₅ | 15, 24 |  | | 11 |
| C ₆ H ₅ | C ₆ H ₅ CH ₂ | 25 |  | | 11 |
| C ₆ H ₅ | <i>p</i> -CH ₃ C ₆ H ₄ | 15 | | | 11 |
| <i>p</i> -ClC ₆ H ₄ | <i>p</i> -ClC ₆ H ₄ | 13 | | | 11 |
| <i>p</i> -CH ₃ C ₆ H ₄ | C ₆ H ₅ | 15 | | | 11 |
| <i>p</i> -O ₂ NC ₆ H ₄ | <i>p</i> -CH ₃ OC ₆ H ₄ CH ₂ | 16 | | | 11 |
| 2,4-(O ₂ N) ₂ C ₆ H ₃ | C ₆ H ₅ | 24 | | | 11 |
|  II Flavanone oximes | | | | | |
| R | R' | |  | | 22, 26 |
| H | H | 7, 17, 28 |  | | 22, 26 |
| H | 3'-OCH ₃ | 28 |  | | 22, 26 |
| H | 4'-OCH ₃ | 28 |  | | 22, 26 |
| H | 3',4'-(OCH ₃) ₂ | 28 |  | | 22, 26 |
| H | 3',4'-(CH ₂ O ₂) | 28 |  | | 22, 26 |
| 6-Cl | H | 28 | | | 22, 26 |
| 7-OCH ₃ | 4'-OCH ₃ | 18 | | | 22, 26 |

gave the hydrochloride of 1-amino-1-(2-nitrophenyl)propan-2-one (VI). This on treatment with ethanolic ammonia gave the dihydropyrazine (V).

These results suggested the occurrence of a novel rearrangement of the oxime sulfonates to α -amino ketones, the pyrazine derivatives arising from Gutknecht condensation (12) of the primary products.

Neber and his associates (21, 22, 24-26) later extended their investigations of this reaction and demonstrated its versatility by synthesizing a variety of α -amino ketones both of the mixed alkyl-aryl and cyclic types.

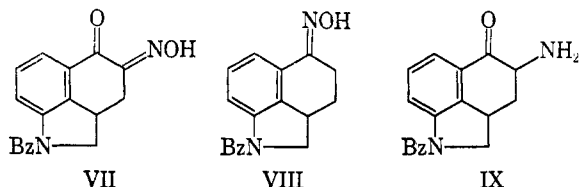
II. SCOPE OF THE REACTION

A. GENERAL SYNTHETIC APPLICATIONS

A list of compounds, which have been submitted successfully to the Neber rearrangement, is given in Table I and shows that the reaction is applicable to a wide variety of alkyl-aryl, heterocyclic, and homocyclic systems.

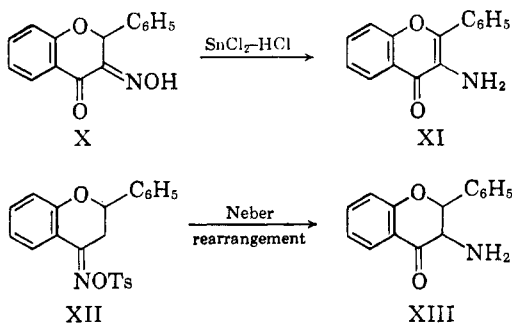
It is interesting to note that the reaction has been recorded as the method of choice in the preparation of intermediates in the synthesis of some important natural products. For example, Woodward at Harvard and his associates in the Lilly Research Laboratories

(19) required 4-amino-1-benzoyl-5-keto-1,2,2a,3,4,5-hexahydrobenz[*cd*]indole (IX) as an intermediate in their projected synthesis of lysergic acid. The reduction of the α -oximino ketone (VII, isolated only as its potassium salt) could not be achieved, but application of the Neber rearrangement to the tosyl ester of VIII gave the desired α -amino ketone (IX) in satisfactory yield. A similar type α -oximino ketone has been found not to be particularly stable, being readily transformed



into the nitrosophenol, the reduction of which would not yield the amino ketone. Wawzonek and Kozikowski (41), in attempting to introduce an α -amino group to 4-phenyl-1-tetralone by first forming the isonitroso ketone and then reducing, were not successful; nitrosation gave solely 2-nitroso-4-phenyl-1-naphthol.

In the flavonoid field a projected synthesis of leucoanthocyanidins required 3-aminoflavanone as an intermediate (7, 28). This compound had long been recorded (31) as available *via* the stannous chloride-hydrochloric acid reduction of 3-oximinoflavanone (X), but recent work (7, 28) has shown that this reduction gives instead 3-aminoflavone (XI). The required 3-



aminoflavanone (XIII) was obtained satisfactorily from the Neber rearrangement of (XII).

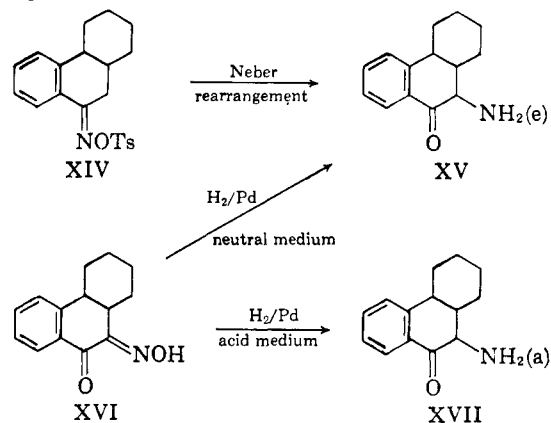
B. STEREOSPECIFIC SYNTHESIS OF CYCLIC α -AMINO KETONES

The application of the Neber rearrangement to the synthesis of sterically specific α -amino ketones has been reported in some instances.

Drefahl and Martin (11) prepared both the ring *cis* and *trans* isomers of 10-amino-1,2,3,4,9,10,11,12-octahydro-9-oxophenanthrene (XV) *via* the Neber rearrangement on the corresponding 9-oximino tosylate compounds (XIV). The amino group in each was assigned equatorial conformation on the basis of physical and chemical properties. The same isomer (*i.e.*, equatorial amine) was obtained from the catalytic hydrogenation in neutral medium of the α -oximino

ketone (XVI) while catalytic reduction in acid medium gave the corresponding axial amino isomer (XVII).

In the case of 3-aminoflavanone (XIII) obtained by the Neber reaction, Kasahara (17) has assigned equatorial conformation to the amino group. However, much of the evidence to support this assignment was based on the false assumption that the stannous chloride-hydrochloric acid reduction of 3-oximinoflavanone



(X) gave the 3-axial amino flavanone. More recent work (27, 40) suggests that the Neber rearrangement does, in fact, give the 3-equatorial amino compound.

C. DIRECTION OF REARRANGEMENT

The question arises of the direction in which the reaction proceeds in those cases where the oxime tosylate possesses two distinguishable α -methylene groups. Those cases which have been reported are listed in Table II and with each, the rearrangement apparently proceeded in the direction which resulted principally in substitution of the amino group on the more electrophilic α -carbon atom. From a consideration of the most plausible mechanism for the reaction, this result would appear a likely consequence (see section III).

D. INFLUENCE OF OXIME CONFIGURATION

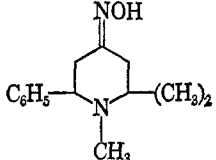
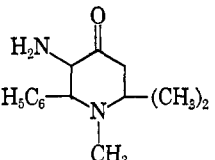
The available evidence suggests that no stereospecificity of the sort found in the Beckmann rearrangement is present in the Neber reaction. The original investigators found that the rearrangement of 1-(2-nitrophenyl)-2-propanone α -oxime tosylate (23) and the corresponding β -isomer (24) gave 1-amino-1-(2-nitrophenyl)-2-propanone in each case. Recently, House and Berkowitz (16) showed that both isomers of 1-(4-methoxyphenyl)-3-(4-nitrophenyl)-2-propanone oxime tosylate gave the same Neber product. Indications that equilibration of the oxime tosylates did not occur under the reaction conditions were apparent from the presence of stereospecific Beckmann products in each reaction mixture.

Hatch and Cram (13) noted that where two distinguishable α -methylene groups were available, the reaction proceeded in a *trans* steric direction except in the case of 1-(2-nitrophenyl)-2-propanone β -oxime tosylate,

TABLE II
NEBER REARRANGEMENT OF OXIME TOSYLATES OF THE TYPE
 RCH_2CCH_2R'



(where R \neq R' = H, alkyl, aryl, etc.)

| Parent oxime | Rearrangement product | References |
|--|---|------------|
| $C_6H_5CH_2CCH_3$ \parallel NOH | $C_6H_5CHCCH_3$ \mid H ₂ N \parallel O | 23 |
| $C_6H_5CH_2CH_2CCH_3$ \parallel NOH | $C_6H_5CH_2CHCCH_3$ \mid H ₂ N \parallel O | 25 |
| $o-O_2NC_6H_4CH_2CCH_3$ \parallel NOH | $o-O_2NC_6H_4CHCCH_3$ \mid H ₂ N \parallel O | 23, 24 |
| $2,4-(O_2N)_2C_6H_3CH_2CCH_3$ \parallel NOH | $2,4-(O_2N)_2C_6H_3CHCCH_3$ \mid H ₂ N \parallel O | 9, 21 |
| $C_6H_5NHCOCCH_2CCH_3$ \parallel NOH | $C_6H_5NHCOCCHCCH_3$ \mid H ₂ N \parallel O | 22 |
| $C_6H_5CONHCH_2CCH_3$ \parallel NOH | $C_6H_5CONHCHCCH_3$ \mid H ₂ N \parallel O | 22 |
| $p-O_2NC_6H_4CH_2CCH_2C_6H_4OCH_3-p$ \parallel NOH | $p-O_2NC_6H_4CHCCH_2C_6H_4OCH_3-p$ \mid H ₂ N \parallel O | 16 |
|  |  | 22 |

while the direction of the reaction was *cis* in those cases of known configuration where only one methylene group was available. To show that the reaction would proceed as usual in a case where the only available α -methylene group was *trans*, they prepared *p,p'*-dichlorodesoxybenzoin oxime tosylate under conditions unlikely to affect the known *trans*-hydroxy-*p*-chlorobenzyl configuration of the oxime and successfully submitted it to the Neber rearrangement.

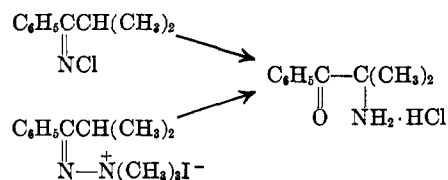
From these examples it appears that the configuration of the oxime tosylate has no significant bearing on the reaction.

E. LIMITATIONS OF THE REACTION

Aldoxime tosylates, when submitted to the Neber reaction conditions, give an E2 elimination of *p*-toluenesulfonic acid with the formation of the corresponding nitrile or isonitrile (13, 20).

In extending the rearrangement to α -methinyl ketoxime tosylates, Hatch and Cram (13) found that 1,1-diphenyl-2-propanone oxime tosylate gave *N*-acetylbenzhydramine. In the case of 1,1-bis(4-chlorophenyl)-2-propanone oxime tosylate a substance was obtained whose properties corresponded with the *O*-ethyl ether of the oxime. Accordingly, they suggested that the reaction was limited to structures which

did not contain a methinyl group adjacent to the oxime function. In the related rearrangements of *N*-chloro-ketimines and dimethylhydrazone methiodides to α -amino ketones (section III B), no such structural limitation exists, and the rearrangement of isopropyl phenyl *N*-chloro-ketimine afforded α -aminoisobutyrophenone hydrochloride in 20–35% yield (6) while the rearrangement of isobutyrophenone dimethylhydrazone methiodide gave the same amino ketone in 75% yield (32).



Although the examples cited by Hatch and Cram contained an α -methyl group, the presence of the unusual α' -diphenylmethyl group may have permitted some exceptional course to take precedence over the Neber rearrangement under the particular reaction conditions adopted, and it seems reasonable to suggest that the general structural limitation of the sort inferred would need to be re-examined in the case of the Neber reaction.

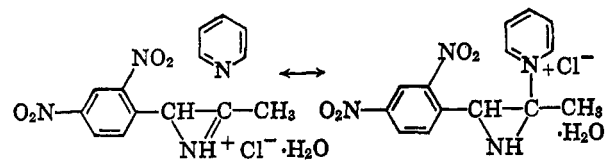
reaction in general being atypical in two respects: (a) The strong electron-withdrawing effect of the two nitro groups makes the hydrogen atoms on the benzyl carbon sufficiently acidic to allow the reaction to be initiated by pyridine instead of the more usual sodium or potassium alkoxide. (b) The azirine ring system itself, again due to the nitro groups, would be expected to be far more resonance-stabilized than in the more usual systems.

In an extension of these studies to a more typical system, these authors (13) treated the tosylate of desoxybenzoin oxime with ethanolic potassium ethoxide, and by application of low temperature techniques they isolated an unstable intermediate. This intermediate was demonstrated to be *cis*-2,3-diphenyl-2-ethoxyethylenimine, and the evidence adduced to support this structure may be summarized as follows.

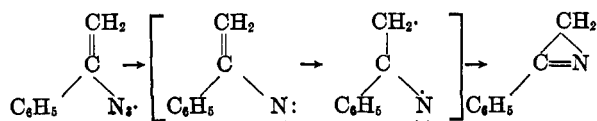
1. Elemental analysis and molecular weight determination suggested a molecular formula, $C_{16}H_{17}NO$.
2. The compound contained one ethoxyl group.
3. Hydrolysis with aqueous hydrochloric acid gave desylamine hydrochloride.
4. Reduction with lithium aluminum hydride gave *cis*-2,3-diphenylethylenimine.
5. The ultraviolet absorption spectrum resembled that of *cis*-2,3-diphenylethylenimine.
6. A band in the infrared spectrum at 2.9μ , which also appears in the spectrum of *cis*-2,3-diphenylethylenimine, showed the presence of a N-H bond in the molecule.

In seeking further evidence for this type of intermediate, the tosylate of *p,p'*-dichlorodesoxybenzoin oxime was submitted to the Neber rearrangement. Although no intermediate could be isolated, evidence for its existence was obtained from the fact that treatment of the intermediate reaction mixture with lithium aluminium hydride gave 2,3-*p,p'*-dichlorodiphenylethylenimine.

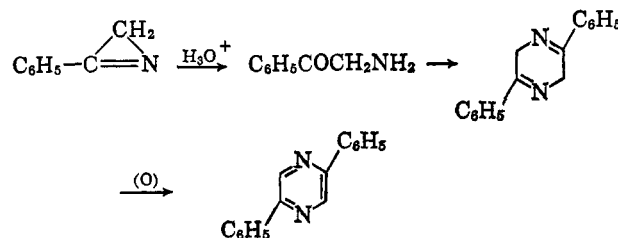
In an attempt to bring the two different types of intermediate into closer analogy, Hatch and Cram (13) formulated the azirine-pyridine-hydrochloride complex (XVIII) as a resonance hybrid.



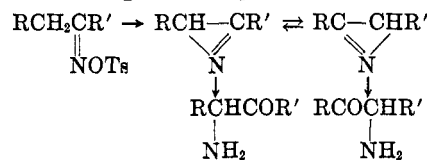
The existence of an intermediate azirine might seem doubtful in view of the strain associated with a three-membered unsaturated ring, but, in fact, such azirines have recently been synthesized. Smolinsky (35, 36) has shown that the pyrolysis of vinyl azides leads to the formation of azirines, *e.g.*



Furthermore, 2-phenylazirine on treatment with dilute acid followed by base afforded a pyrazine presumably *via* hydrolysis to the α -amino ketone followed by self-condensation and air oxidation (36).



House and Berkowitz (15) have shown that in certain cases at least a symmetrical intermediate or a rapidly equilibrating mixture of intermediates is not involved in the Neber rearrangement. Though the previous work on the reaction showed no indication of a tautomeric type of intermediate, they examined cases where the proposed azirine intermediates would be essentially equivalent electronically. They found that the Neber rearrangement of desoxybenzoin and 4-methyl- and 4'-methyl-desoxybenzoin oxime tosylates produced the α -amino derivative of the corresponding ketone in each case, ruling out the possibility of any tautomerization such as the following occurring.

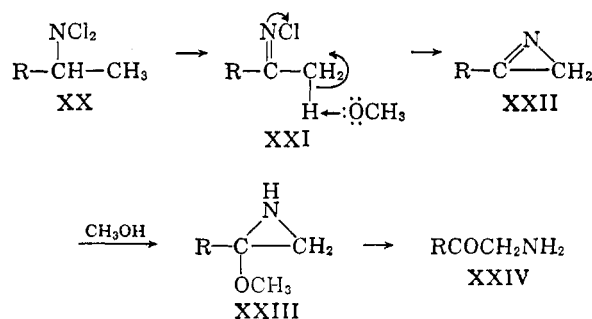


B. REARRANGEMENTS WITH PARALLEL MECHANISMS

A discussion of the mechanism would hardly be complete without mention of two other rearrangements yielding α -amino ketones which are considered to operate in an essentially similar fashion to the Neber reaction.

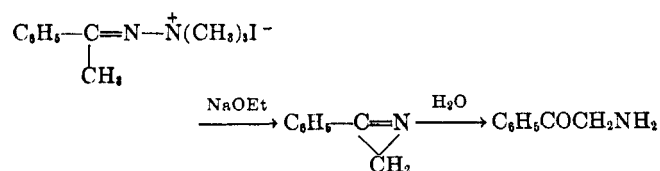
In 1954, Baumgarten and Bower (3) showed that the treatment of *N,N*-dichloro-*sec*-alkyl amines with sodium methoxide and then with dilute hydrochloric acid gave good yields of the corresponding α -amino ketone hydrochloride.

The first stage of this reaction has been shown (1, 4) to involve dehydrochlorination of the dichloroalkyl amine (XX) to the *N*-chloroalkylamine (XXI).

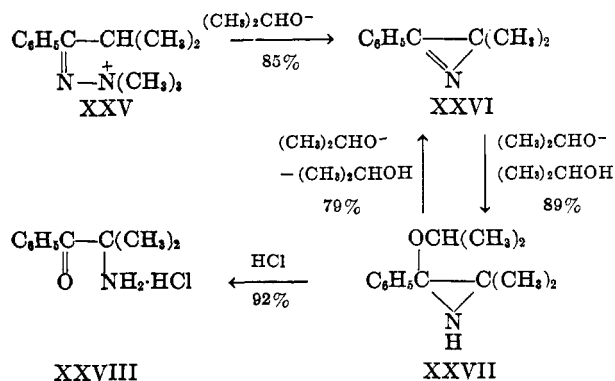


second stage, conversion to the α -amino ketone (XXIV), was considered to proceed by a mechanism similar to that described by Hatch and Cram for the Neber rearrangement, the intermediate being the azirine (XXII) or the methoxyethylenimine (XXIII). In support of this mechanistic similarity, the intermediate from the rearrangement of *N,N*-dichloro-1,2-diphenylethylamine was reduced, without isolation, with lithium aluminium hydride giving *cis*-2,3-diphenylethylenimine (5).

The second of these Neber-type reactions, the base-catalyzed rearrangement of dimethylhydrazone methiodides having a α -hydrogens to α -amino ketones, has been described by Smith and Most (34), and the mechanism is again considered analogous to that of the Neber rearrangement.



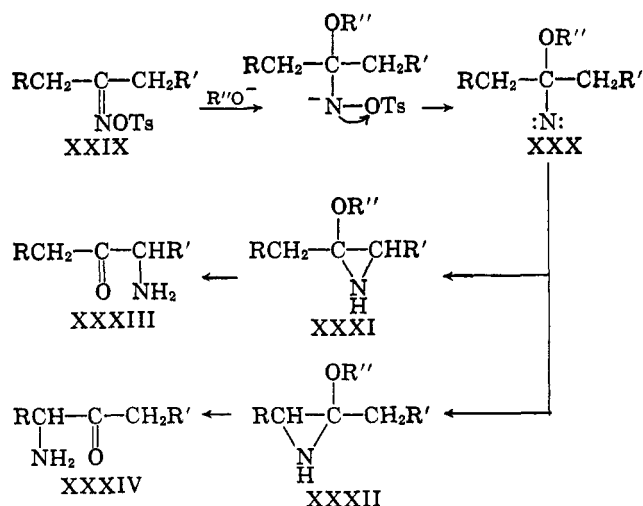
Very recently, a study of the reaction of isobutyrophenone dimethylhydrazone methiodide (XXV) with sodium isopropoxide in isopropyl alcohol was reported by Parcell (32), and in this case the intermediate proved to be unusually stable. When the reaction was carried out with less than one equivalent of base at ambient temperature for a short period, the azirine (XXVI) was produced; with excess of base at reflux temperature for several hours, the alkoxy ethylenimine (XXVII) was produced. The azirine (XXVI), when refluxed in isopropyl alcohol with a catalytic amount of base, gave the alkoxy ethylenimine (XXVII) in high yield. Azeotropic distillation of the alkoxy ethylenimine in toluene removed isopropyl alcohol, regenerating the azirine. Acid hydrolysis of either compound gave the amino ketone (XXVIII), reaction of the alkoxy ethylenimine being the less exothermic but occurring more rapidly and giving a greater yield of the amino ketone. The structures assigned to the intermediates were supported by elemental analyses, and by infrared and ultraviolet measurements.



C. CONSIDERATION OF THE DETAILED MECHANISM

Two general mechanistic pathways now seem possible for the Neber rearrangement.

In the first of these, originally discussed by House and Berkowitz (15, 16), the reaction is initiated by attack of the alkoxide ion on the carbon-nitrogen double bond followed by loss of the tosyloxy group. The resulting saturated nitrene (XXX) then inserts itself into an adjacent C-H bond to form an alkoxy ethylenimine (XXXI or XXXII). With this scheme it might be expected that the saturated nitrene, by analogy with the behavior of carbenes, would show little selectivity between insertion at either of the two adjacent methylene positions and consequently to produce comparable amounts of both Neber products (XXXIII and XXXIV). Furthermore, if any selectivity were to be



observed the predominant product would be expected to be that arising from bond insertion with the more electronegative α -group. The observed facts show that this is not so, and that cyclization results in substitution of the amino group for one of the more acidic hydrogens. A striking example of this was provided by House and Berkowitz (16) with the rearrangement of 1-(4-methoxyphenyl)-3-(4-nitrophenyl)-2-propanone oxime tosylate (XXIX, R = *p*-O₂NC₆H₄; R' = *p*-CH₃OC₆H₄). The product from this reaction was 1-amino-3-(4-methoxyphenyl)-1-(4-nitrophenyl)-2-propanone (XXIV, R = *p*-O₂NC₆H₄; R' = *p*-CH₃OC₆H₄) rather than the isomeric amino ketone (XXXIII) which might have been expected had the proposed insertion of the electron-deficient nitrene occurred at the C-H bond adjacent to the electron-donating *p*-methoxyphenyl group.

A mechanism more in general agreement with the observed behavior is that which essentially was originally proposed by Hatch and Cram (13) and which involves an initial base-induced elimination of an α -proton followed or accompanied by loss of the tosyloxy group with subsequent ring closure to an azirine.

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